



# UEI - Financial Assistance Awards Registration

Restore America's Estuaries

# Key Acronyms for UEI & SAM.gov Registration

- **UEI – Unique Entity Identifier**
  - A 12-character alphanumeric ID assigned by SAM.gov to identify your organization.
- **SAM.gov – System for Award Management**
  - The federal system used for entity registration, validation, grants, and contracts.
- **GSA – General Services Administration**
  - The federal agency that manages SAM.gov.
- **TIN/EIN – Taxpayer Identification Number / Employer Identification Number**
  - Used to validate your entity with the IRS.
- **IRS – Internal Revenue Service**
  - Validates the legal business name and tax information.
- **MPIN – Marketing Partner Identification Number**
  - A personal code your organization creates during registration; used for authentication.
- **CAGE Code – Commercial and Government Entity Code**
  - Assigned to U.S. entities for federal contracting and assistance eligibility.
- **NCAGE Code – NATO Commercial and Government Entity Code**
  - Required for non-U.S. entities to register in SAM.gov.
- **POC – Point of Contact**
  - Individuals assigned in your SAM.gov profile (e.g., Accounts Receivable, Government Business).
- **FAR – Federal Acquisition Regulation**
  - Rules governing federal procurement and responsibility matters.
- **2 C.F.R. – Title 2 of the Code of Federal Regulations**
  - Regulations covering federal grants, financial assistance, and award compliance.
- **EFT – Electronic Funds Transfer**
  - Method for federal payments to your organization's bank account.
- **FFATA – Federal Funding Accountability and Transparency Act**
  - Requires federal award transparency, including executive compensation reporting.
- **ACH – Automated Clearing House**
  - The U.S. electronic network used for moving money between bank accounts.

# What Is a UEI?

---



The Unique Entity ID (UEI) is the official government identifier issued by SAM.gov.



Required for federal financial assistance awards, including grants, loans, and cooperative agreements.



You receive your UEI as part of the SAM.gov registration process.



# Information Needed to Obtain a UEI



## Core Requirements

- **Legal Business Name**
- **Physical Address** (No P.O. Boxes allowed)
- **Date of Incorporation**
- **State of Incorporation** (U.S. entities only)

## Validation

- Your name and address are automatically validated by SAM.gov.
- If validation fails, you must **submit a help ticket** to the **Federal Service Desk (FSD)**.

## Public Visibility Option

- Option to make your registration **publicly searchable**.
  - If restricted:
    - Your information is hidden from non-federal entities.
    - Federal users can still access required non-sensitive data.
- 

+

•

○

# Core Data Required for Registration

## Business Information

- Organization start date
- Fiscal year-end date
- Division name/number (optional)
- Organization website (optional)
- **MPIN** – a code you create during registration
- Physical & mailing address
- **Taxpayer Identification Number (TIN)** – U.S. entities only
- IRS Consent Form (U.S. entities only)

## CAGE / NCAGE Code

- **CAGE Code** – assigned to U.S. entities if you don't have one
- **NCAGE Code** – required for non-U.S. entities (must request before SAM.gov registration)

# General Organizational Information

- Country & State of Incorporation
- Company & employee security clearance levels (optional)
- Institution Type (foundation, hospital, educational, etc.)
- Disadvantaged Business Enterprise status
- Native American Entity type (if applicable)
- Organizational factors (LLC, S-corp, foreign-owned, etc.)
- Entity structure (corporate, sole proprietor, tax-exempt, etc.)
- Profit type: for-profit or non-profit
- Socioeconomic categories (veteran-owned, minority-owned, etc.)



# Financial Information



**Whether you accept credit  
card payments**



**Electronic Funds Transfer  
(EFT) information:**

Account type  
Routing number  
Account number



**ACH phone number**

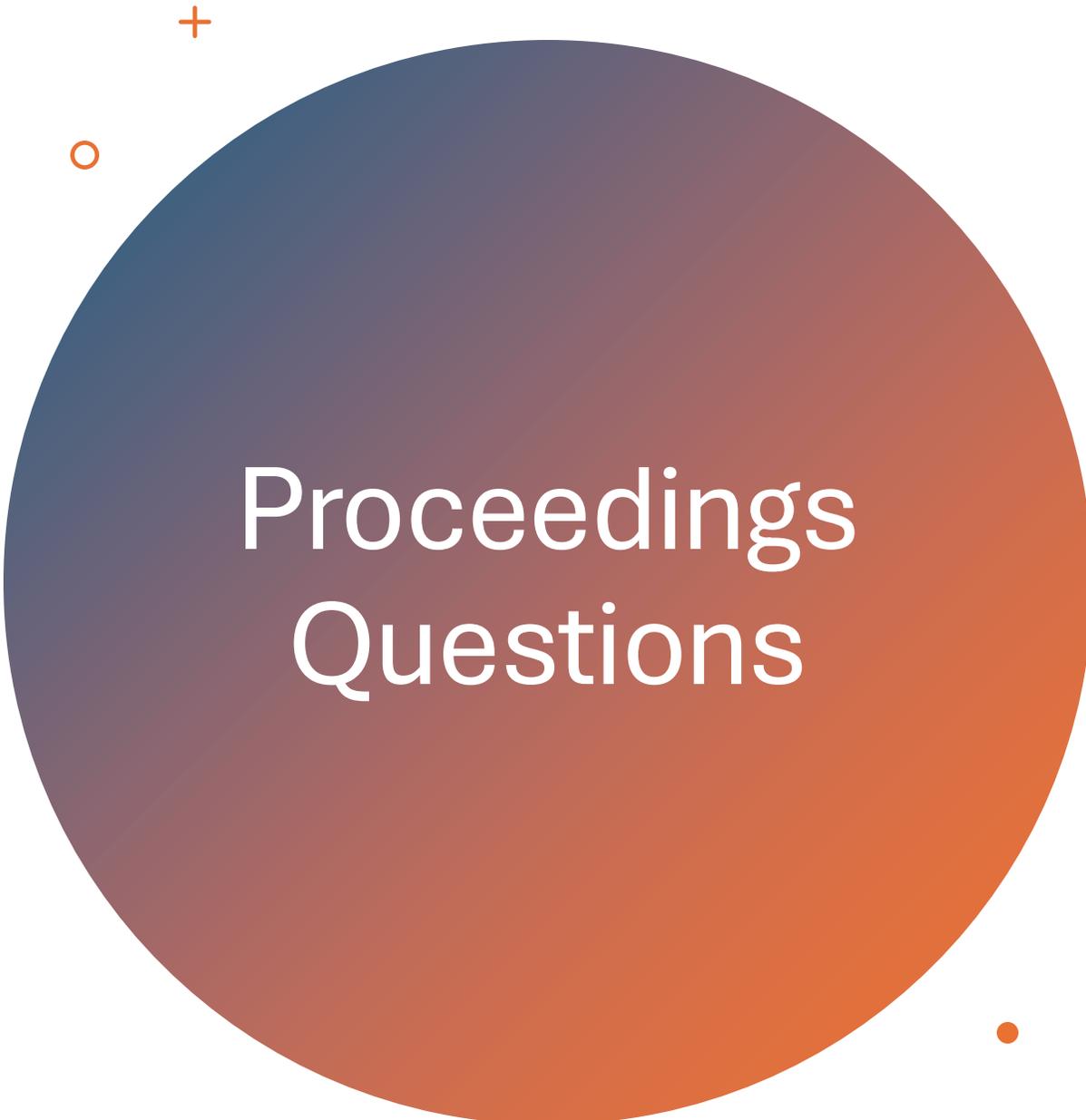


**Remittance address**



## Executive Compensation Questions

- Answer “Yes” if both apply in the last fiscal year:
  - 80%+ of revenue came from federal sources
  - Federal revenue exceeded \$25 million
  - If the public does not have access to senior executive compensation → you must list the top five compensated executives.

A large circle with a gradient from dark blue at the top to orange at the bottom. In the top-left corner of the circle is a small orange plus sign. In the top-left corner of the slide is a small orange circle. In the bottom-right corner of the circle is a small orange dot. The text "Proceedings Questions" is centered in white.

# Proceedings Questions

Triggered if your organization:

- Is responding to procurement with FAR 52.209-7
- Is subject to FAR 52.209-9
- Is applying for a grant with 2 C.F.R. 200 Appendix XII
- Has >\$10M in federal contracts/grants

If yes, you must report:

- Criminal proceedings involving conviction/admission of fault
- Civil proceedings with monetary penalties > \$5,000
- Administrative proceedings with penalties > \$5,000 or damages > \$100,000
- And provide:
  - Instrument state
  - Instrument number
  - Type of proceeding
  - Disposition
  - Description

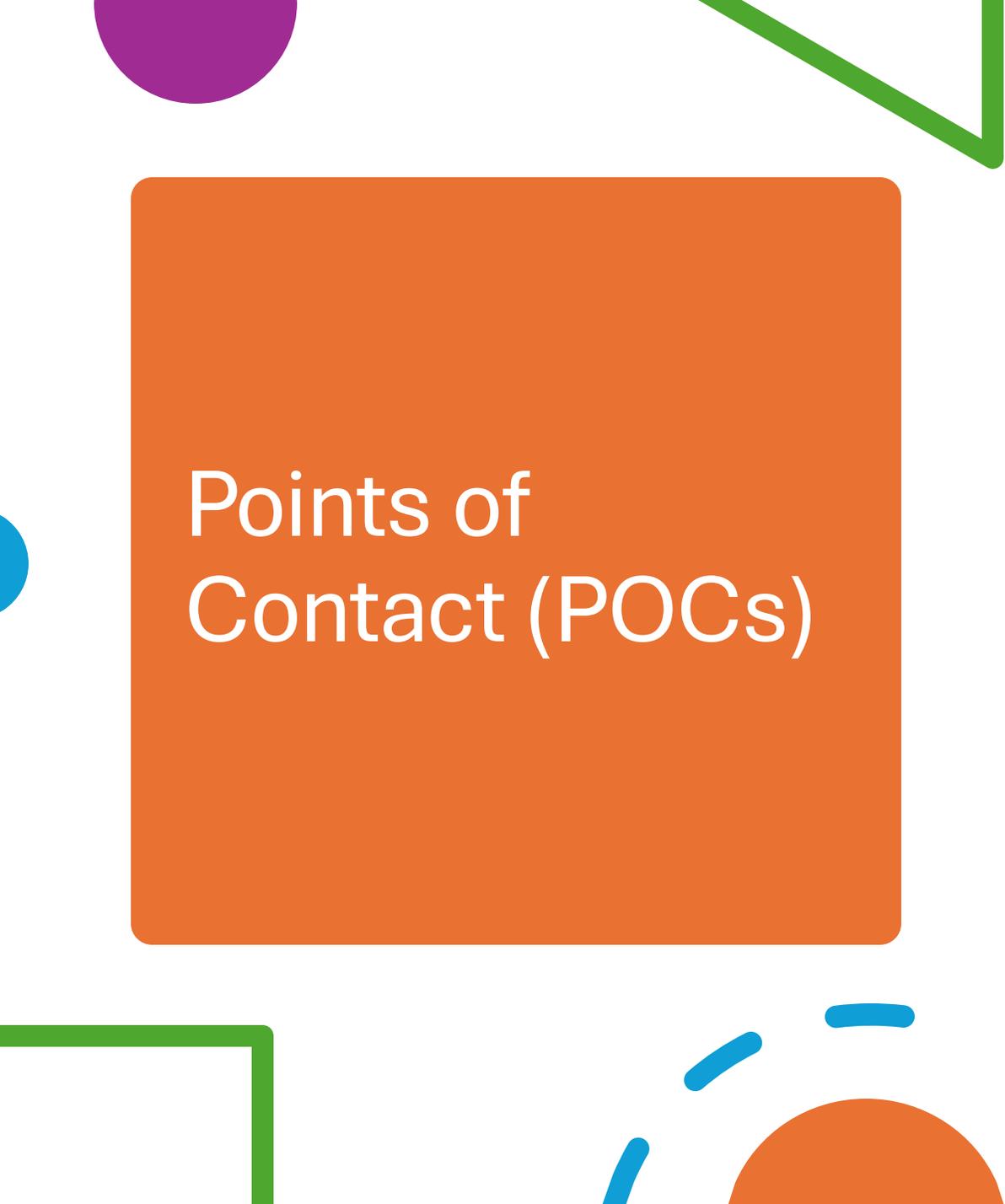


# Representations & Certifications

Required for financial assistance registrations.

Your entity certifies:

- Legal authority to apply for assistance
- Capability to manage federal awards
- Agreement to allow federal access for audits and reviews
- Disclosure of conflicts of interest
- Compliance with federal laws and Executive guidance
- Compliance with multiple national policy requirements, including:
  - **Trafficking Victims Protection Act**
  - **Drug-Free Workplace Act**
  - **Civil Rights Acts (Title VI, VIII, IX)**
  - **Section 504 (Rehabilitation Act)**
  - **Age Discrimination Act**
  - **False Claims Act**
  - **Lobbying Disclosure Act**
  - **NEPA, Debarment & Suspension**, and more



# Points of Contact (POCs)

- Mandatory POCs
    - Accounts Receivable (Financial)
    - Electronic Business
    - Government Business
  - Optional POCs
    - Past Performance
    - Alternate points of contact for each category
    - Additional optional POCs as needed
- 

# Summary

- To complete a Financial Assistance Awards–Only Registration in SAM.gov, you must:
  - Obtain a UEI by providing validated entity information
  - Complete Core Data, General Information, and Financial details
  - Answer Executive Compensation and Proceedings questions
  - Certify Representations & Certifications
  - Provide all required Points of Contact

